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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/813,832         | 03/31/2004             | L. Murray Dallas      | 15912/09040            |

27530  
 NELSON MULLINS RILEY & SCARBOROUGH LLP  
 P.O. BOX 11070  
 COLUMBIA, SC 29211

CONFIRMATION NO. 5078

## FORMALITIES LETTER



\*OC000000012923033\*

Date Mailed: 06/10/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

RECEIVED

JUN 14 2004

NELSON MULLINS  
COLUMBIA OFFICE

Replies should be mailed to: Mail Stop Missing Parts  
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 P.O. Box 1450  
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08/06/2004 FFAHIA2 00000094 10813832

01 FC:2051

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to read "Shumac", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

# Nelson Mullins

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Attorneys and Counselors at Law

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Lloyd G. Farr

Registered Patent Attorney

404.817.6165

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August 2, 2004

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: U.S. Patent Application of L. Murray Dallas, et al.  
Entitled: "Casing-Engaging Well Tree Isolation Tool and Method of Use"  
Serial No.: 10/813,832  
Filed: March 31, 2003  
Our Ref.: 15912/09040

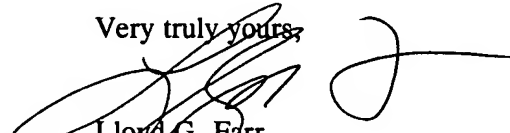
Dear Sir:

The following are being transmitted herewith:

1. Transmittal sheet (original plus 1 copy)
2. Fee transmittal sheet (1 sheet)
3. One copy of PTO form (2 sheets)  
"Notice to File Missing Parts of Nonprovisional Application"
4. Response to Notice to File Missing Parts of Nonprovisional Application (1 sheet)
5. Declaration (37 CFR 1.63) For Utility or Design Application Using an Application Data Sheet (37 CFR 1.76) and Application Data Sheet (2 sheets)
6. Power of Attorney and Change of Correspondence Address (1 sheet)
7. Check in the amount of \$65.00 (late oath or declaration fee)
8. Return postcard

Please charge any deficiency or credit any overpayment required by this action to our deposit account no. 50-1196, for which purpose an extra copy of this transmittal letter is attached.

Very truly yours,



Lloyd G. Farr  
Reg. No. 38,446

I hereby certify that this paper and any referenced attachment and/or fee are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

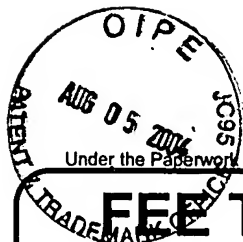
Date of Deposit: August 2, 2004

Jesse S. Emerson

Typed name of person mailing paper or fee



Signature



# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 65.00

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 10/813,832               |
| Filing Date          | March 31, 2003           |
| First Named Inventor | L. Murray Dallas, et al. |
| Examiner Name        | Not Yet Assigned         |
| Art Unit             | 3672                     |
| Attorney Docket No.  | 15912/09040              |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 50-1196

Deposit Account Name Nelson Mullins LLP, et al.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid   |
|--------------|----------|--------------|----------|------------------------|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |            |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |            |
| 1002         | 340      | 2002         | 170      | Design filing fee      |            |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |            |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |            |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |            |
| SUBTOTAL (1) |          |              |          |                        | (\$ ) 0.00 |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |   | Extra Claims |   | Fee from below |      | Fee Paid |
|--------------------|---|--------------|---|----------------|------|----------|
| Total Claims       | 0 | -20** =      | 0 | X              | 0.00 | = 0.00   |
| Independent Claims | 0 | -3** =       | 0 | X              | 0.00 | = 0.00   |
| Multiple Dependent |   |              |   |                |      |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                          |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                      |
| 1204         | 86       | 2204         | 43       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  | 65.00    |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 420      | 2252         | 210      | Extension for reply within second month                                    |          |
| 1253         | 950      | 2253         | 475      | Extension for reply within third month                                     |          |
| 1254         | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |          |
| 1255         | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |          |
| 1401         | 330      | 2401         | 165      | Notice of Appeal   |          |
| 1402         | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |          |
| 1403         | 290      | 2403         | 145      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501         | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |          |
| 1502         | 480      | 2502         | 240      | Design issue fee   |          |
| 1503         | 640      | 2503         | 320      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801         | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 65.00

## SUBMITTED BY

(Complete if applicable)

|                   |               |                                   |                |           |                |
|-------------------|---------------|-----------------------------------|----------------|-----------|----------------|
| Name (Print/Type) | Lloyd G. Farr | Registration No. (Attorney/Agent) | 38,446         | Telephone | (404) 817-6165 |
| Signature         |               | Date                              | August 2, 2004 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

DOCKET NO.: 15912/09040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                  |   |                      |
|----------------------------------|---|----------------------|
| In Re Application of             | ) |                      |
| L. Murray Dallas, et al.         | ) | Examiner: Unknown    |
|                                  | ) |                      |
| Serial No.: 10/813,832           | ) | Art Unit: 3672       |
|                                  | ) |                      |
| Filed: March 31, 2003            | ) | Account No.: 50-1196 |
|                                  | ) |                      |
| Title: Casing-Engaging Well Tree | ) |                      |
| Isolation Tool and Method of Use | ) |                      |

**RESPONSE TO NOTIFICATION TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Responsive to the Notification to File Missing Parts of Nonprovisional Application mailed June 10, 2004, a copy of which is enclosed, Applicant submits an executed Declaration (37 CFR 1.63) For Utility or Design Application Using an Application Data Sheet (37 CFR 1.76) and a Power of Attorney. A surcharge of \$65.00 pursuant to 37 CFR 1.16(e) is enclosed. Please charge any deficiency or credit any overpayment required by this action to our deposit account no. 50-1196.

Respectfully submitted,

NELSON MULLINS RILEY  
& SCARBOROUGH, L.L.P.

Lloyd G. Farr  
Registration No. 38,446

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